

NBTI in Nanoscale MOSFETs – The Ultimate Modeling Benchmark

T. Grasser*, K. Rott*, H. Reisinger*, M. Waltl*, F. Schanovsky*, and B. Kaczer^o

*Institute for Microelectronics, TU Wien, Austria • Infineon, Munich, Germany ^oIMEC, Leuven, Belgium

Abstract—After nearly half a century of research into the bias temperature instability (BTI), two classes of models have emerged as the strongest contenders: one class of models, the reaction-diffusion models, is built around the idea that hydrogen is released from the interface and that it is the *diffusion* of some form of hydrogen that controls both degradation and recovery. While many different variants of the reaction-diffusion idea have been published over the years, the most commonly used recent models are based on non-dispersive reaction rates and non-dispersive diffusion. The other class of models is based on the idea that degradation is controlled by first-order *reactions* with widely distributed (dispersive) reaction rates. We demonstrate that *these two classes give fundamentally different predictions for the stochastic degradation and recovery of nanoscale devices, therefore providing the ultimate modeling benchmark*. Using detailed experimental time-dependent defect spectroscopy (TDDS) data obtained on such nanoscale devices, we investigate the compatibility of these models with experiment. Our results show that the *diffusion* of hydrogen (or any other species) is unlikely to be the limiting aspect that determines degradation. On the other hand, the data are fully consistent with *reaction*-limited models. We finally argue that only the correct understanding of the physical mechanisms leading to the significant device-to-device variation observed in the degradation in nanoscale devices will enable accurate reliability projections and device optimization.

I. INTRODUCTION

Research into the bias temperature instability (BTI) has revealed a plethora of puzzling issues which have proven a formidable obstacle to the understanding of the phenomenon [1–14]. In particular, numerous modeling ideas have been put forward and refined at various levels. Most of these models have in common that the overall degradation is assumed to be due to two components: one component (N_{it}) is related to the release of hydrogen from passivated silicon dangling bonds at the interface, thereby forming electrically active P_b centers [15], while the other (N_{ot}) is due to the trapping of holes in the oxide [5, 8, 16–19]. However, these models can differ significantly in the details of the physical mechanisms invoked to explain the degradation.

At present, from all these modeling attempts two classes have emerged that appear to be able to explain a wide range of experimental observations: the first class is built around the concept of the reaction-diffusion (RD) model [1, 14], where it is assumed that it is the *diffusion* of the released hydrogen that dominates the dynamics. The other class is based on the notion that it is the *reactions* which essentially limit the dynamics, and that the reaction rates are distributed over a wide range [5, 20–23]. In other words, in this *reaction*-limited class of models, both interface states (N_{it}) and oxide charges (N_{ot}) are assumed to be (in the simplest case) created and annealed by first-order reactions. In contrast, in the *diffusion*-limited class

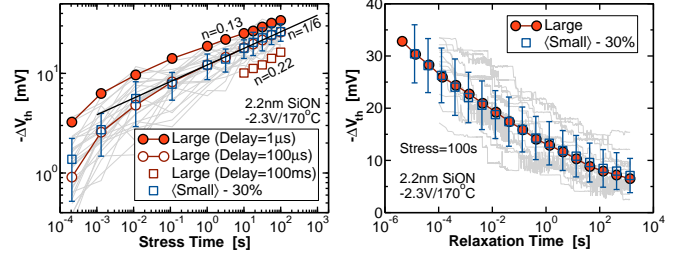


Fig. 1: Degradation (left) and recovery (right) of 27 small-area devices (light gray lines) ($120\text{nm} \times 280\text{nm}$) compared to a large-area device (red symbols) with area $120\text{nm} \times 10\mu\text{m}$. While the average degradation of the small-area devices is larger by 30% (open symbols, error bars are $\pm\sigma$), the kinetics during both stress and recovery are otherwise identical. In particular, during stress a power-law slope of $1/6$ is observed in both large and small-area devices if the measurement delay is chosen $100\mu\text{s}$.

(RD models), the dynamics of N_{it} creation and annealing are assumed to be dominated by a *diffusion*-limited process, which controls both long term degradation and recovery.

Many of these models have been developed to such a high degree that they appear to be able to predict a wide range of experimental observations [9, 12, 14, 24, 25]. Typically, however, experimental data are obtained on large-area (macroscopic) devices where the microscopic physics are washed out by averaging. In nanoscale devices, on the other hand, it has been shown that the creation and annihilation of individual defects can be observed at the statistical level [3, 5, 10, 13, 24]. We will demonstrate in the following that *this statistical information provides the ultimate benchmark for any BTI model, as it reveals the underlying microscopic physics to an unprecedented degree*. This allows for an evaluation of the foundations of the two model classes, as it clearly answers the fundamental question: *is BTI reaction- or diffusion-limited?* As such, the benchmark provided here is simple and not clouded by the complexities of the individual models.

II. EQUIVALENCE OF LARGE AND SMALL DEVICES

Since the stochastic response of nanoscale devices to bias-temperature stress lies at the heart of our arguments, we begin by experimentally demonstrating the equivalence of large- and small-area devices. For this, we compare the degradation of a large-area device to the average degradation observed in 27 small-area devices when subjected to negative BTI (NBTI). All measurements in the present study rely on the ultra-fast ΔV_{th} technique published previously [4], which has a delay of $1\mu\text{s}$ on large devices. Due to the lower current levels, the delay increases to $100\mu\text{s}$ in small-area devices. As can be seen in Fig. 1, although the degradation in small-area devices shows larger signs of variability, discrete steps during

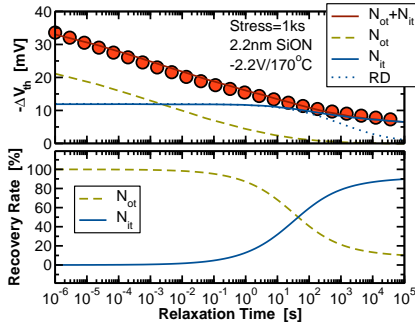


Fig. 2: Top: Recovery data (symbols) from our technology [23] after 1ks fitted by a simple hole trapping model (N_{ot}) and the empirically modified RD model (N_{it}), as taken from [27]. The dotted line (RD) shows the prediction of the unmodified RD model. **Bottom:** After about 50s, according to this fit, recovery is dominated by *reaction*-limited N_{it} recovery. The recovery rate R is defined by how much ΔV_{th} is lost per decade in percent.

recovery, and is about 30% larger than in this particular large-area device, the average dynamics are identical [10, 26]. In particular, for a measurement delay of 100 μ s, a power-law in time (t_s^n) with exponent 1/6 is observed during stress while the averaged recovery is roughly logarithmic over the relaxation time t_r . This demonstrates that by using nanoscale devices, the complex phenomenon of NBTI can be broken down to its microscopic constituents: the defects that cause the discrete steps in the recovery traces. Analysis of the statistics of these steps will thus reveal the underlying physical principles.

It has been shown that the hole trapping component depends sensitively on the process details, particularly for high nitrogen contents [14], possibly making the choice of benchmark technology crucial for our following arguments. However, for industrial grade devices with low nitrogen content such as those used in this study, no significant differences in reported ΔV_{th} drifts to published data have been found [10]. The pMOS samples used here are from a standard 120nm CMOS process with a moderate oxide thickness of 22 Å and with a nitride content of approximately 6%, while the poly-Si gates are boron doped with a thickness of 150nm. In particular, our previously published data obtained on the same technology as that of Fig. 1 has recently been interpreted from the RD perspective [27] as shown in Fig. 2, without showing any anomalies. This fit seems to suggest that after $t_s = 1$ ks and $t_r > 50$ s recovery is dominated by *diffusion*-limited N_{it} recovery, a conclusion we will put to the test in the following.

III. EXPERIMENTAL METHOD

For our experimental assessment we use the time-dependent defect spectroscopy (TDDS) [24], which has been extensively used to study BTI in small-area devices at the single-defect level [3, 13, 28, 29]. Since such devices contain only a countable number of defects, the recovery of each defect is visible as a discrete step in the recovery trace, see Fig. 1. The large variability of the discrete step-heights is a consequence of the inhomogeneous surface potential caused by the random discrete dopants in the channel, leading to percolation paths and a strong sensitivity of the step-height to the spatial location of the trapped charge [30]. Typically, these step-heights are approximately exponentially distributed [31] with

the mean step-height given by $\bar{\eta} = \bar{\eta}_r \eta_0$. Here, η_0 is the value expected from the simple charge sheet approximation $\eta_0 = q t_{ox} / (\epsilon_r \epsilon_0 W L)$, where q is the elementary charge, $\epsilon_r \epsilon_0$ the permittivity of the oxide, $W L$ the area, and t_{ox} the oxide thickness. Experiments and theoretical values for the mean correction factor η_r are in the range 1–4 [32].

In a TDDS setup, a nanoscale device is repeatedly stressed and recovered (say $N = 100$ times) using fixed stress/recovery times, t_s and t_r . The recovery traces are analyzed for discrete steps of height η occurring at time τ_e . Each (τ_e, η) pair is then placed into a 2D histogram, which we call the spectral map, formally denoted by $g(\tau_e, \eta)$. The clusters forming in the spectral maps reveal the probability density distribution and thus provide detailed information on the statistical nature of the average trap annealing time constant $\bar{\tau}_e$. From the evolution of $g(\tau_e, \eta)$ with stress time, the average capture time $\bar{\tau}_c$ can be extracted as well. So far, only exponential distributions have been observed for τ_e , consistent with simple independent first-order reactions [33].

In our previous TDDS studies, mostly short-term stresses ($t_s \lesssim 1$ s) had been used. Based on this short-term nature, the generality of these results may be questioned, since also N_{it} recovery predicted by RD models result in discrete steps [34]. As we have pointed out a while ago [35], however, the distribution of these RD steps would be loglogistic rather than exponential, a fact that should be clearly visible in the spectral maps. In the following, we will conduct a targeted search for such loglogistic distributions and other features directly linked to *diffusion*-limited recovery processes using extended long-term TDDS experiments with $t_s = t_r = 1$ ks.

IV. THEORETICAL PREDICTIONS

Before discussing the long-term TDDS data, we summarize the basic theoretical predictions of the two model classes. Both model classes have in common that the charges trapped in interface and oxide states induce a change of the threshold voltage. Depending on the location of the charge along the interface or in the oxide, it will contribute a discrete step η_i to the total ΔV_{th} . Due to only occasional electrostatic interactions with other defects and measurement noise, η_i is typically normally distributed with mean $\bar{\eta}_i$. The mean values $\bar{\eta}_i$ themselves, however, are exponentially distributed [31].

The major difference between the model classes is whether creation and annealing of N_{it} is *diffusion*- or *reaction*-limited, resulting in a fundamentally different form of the spectral map $g(\tau_e, \eta)$, as will be derived below. Being the simpler case, we begin with the dispersive *reaction*-limited models.

A. Dispersive Reaction-Limited Models

In an agnostic formulation of dispersive *reaction*-limited models, creation and annealing of a single defect are assumed to be given by a simple first-order reaction

$$f(t_s, t_r, \bar{\tau}_c, \bar{\tau}_e) = (1 - \exp(-t_s/\bar{\tau}_c)) \exp(-t_r/\bar{\tau}_e), \quad (1)$$

with f being the probability of having a charged defect after stress and recovery times t_s and t_r , respectively. The physics

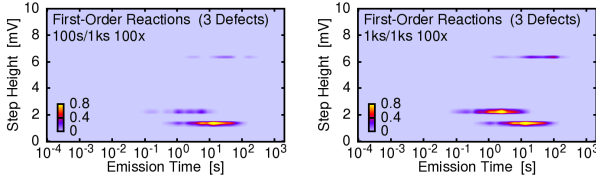


Fig. 3: Simulated spectral maps of a dispersive reaction model for three traps using two stress times, 100s and 1ks (left vs. right). The map is constructed using 100 repeated stress/relax cycles. The basic features are exponential clusters which do not move with stress time.

of trap creation enter the average forward and backward time constants $\bar{\tau}_c$ and $\bar{\tau}_e$. It is important to highlight that equation (1) may describe both the *reaction*-limited creation and annealing of interface states [5, 20, 36], as well as a charge trapping process [3, 5, 24]. We recall that even more complicated charge trapping processes involving structural relaxation and meta-stable defect states (such as switching oxide traps) can be approximately described by an effective first-order process, at least under quasi-DC conditions [33, 37].

Having N defects present in a given device, the overall ΔV_{th} is then simply given by a sum of such first-order processes

$$\Delta V_{th}(t_s, t_r) = \sum_i \bar{\eta}_i f(t_s, t_r, \bar{\tau}_{c,i}, \bar{\tau}_{e,i}). \quad (2)$$

The most important aspect is that the time constants are observed to be widely distributed. We have recently used such a model to explain BTI degradation and recovery over a very wide experimental window assuming the time constants to belong to two different distributions, one tentatively assigned to charge-trapping and the other to interface state generation [23, 26].

At the statistical level, recovery in such a model is described by the sum of exponential distributions. The spectral map, which records the emission times on a logarithmic scale, is then given by

$$g(\tau_e, \eta) = \sum_i B_i f_\eta \left(\frac{\eta - \bar{\eta}_i}{\sigma_{\eta,i}} \right) \frac{t_r}{\bar{\tau}_{e,i}} \exp(-t_r/\bar{\tau}_{e,i}), \quad (3)$$

with the stress time dependent amplitude $B_i \approx 1 - \exp(-t_s/\bar{\tau}_{c,i})$ and f_η describing the p.d.f. of η , with mean $\bar{\eta}_i$ and standard deviation $\sigma_{\eta,i}$. An example spectral map simulated at two different stress times is shown in Fig. 3, which clearly reveals the three contributing defects. We note already here that contrary to the RD model, the spectral map of the dispersive first-order model depends on the individual $\bar{\tau}_{e,i}$, which can be strongly bias and temperature dependent.

B. Non-Dispersive Reaction-Diffusion Models

As a benchmark RD model we take the latest, and according to [14] the physically most likely variant, the poly H/H₂ model: here it is assumed that H is released from Si-H bonds at the interface, diffuses to the oxide-poly interface, where additional Si-H bonds are broken to eventually create H₂, the *diffusion* of which results in the $n = 1/6$ degradation behavior typically associated with RD models. Recovery then occurs via reversed pathways. While other variants of the RD model have been used [1, 38–40], which cannot possibly be exhaustively

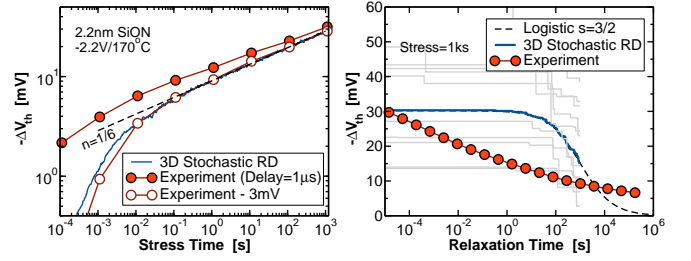


Fig. 4: Degradation (left) and recovery (right) predicted by the calibrated stochastic poly H/H₂ model on small-area devices. The difference in the initial stress phase is assumed to be due to hole trapping and approximately modeled by subtracting 3mV from the experimental data, as we are here concerned with larger stress and recovery times, where hole trapping is assumed to be negligible in the RD interpretation [44]. Recall that Fig. 2 uses the *empirically stretched* RD model [27].

studied here, we believe our findings are of general validity, as all these models are built around *diffusion*-limited processes.

In large-area devices the predicted long-term recovery after long-term stress can be fitted by the empirical relation

$$N_{it}(t_s, t_r) \approx \frac{At_s^n}{1 + (t_r/t_s)^{1/s}}, \quad (4)$$

with $s \approx 2$, provided diffusion is allowed into a semi-infinite gate stack with constant diffusivity in order to avoid saturation effects. Quite intriguingly, a similar mathematical form has been successfully used to fit a wide range of experimental data, using a scaled stress time, though [7]. Remarkably, experimentally observed exponents are considerably smaller than what is predicted by RD models, corresponding to a wider spread over the time axis.

In an empirically modified model, it has been assumed that in a real 3D device, recovery will take longer compared to (4) since the H atoms will have to “hover” until they can find a suitable dangling bond for passivation [14]. However, using a rigorous stochastic implementation of the RD model, we have not been able to observe significant deviations from (4), irrespective of whether the model is solved in 1D, 2D, or 3D, provided one is in the diffusion-limited regime [41]. As such, significant deviations from the basic recovery behavior (4) still have to be rigorously justified. One option to stretch the duration of recovery would be the consideration of dispersive transport [42, 43]. Our attempts in this direction were, however, not found to be in agreement with experimental observations [7, 12]. Alternatively, consistent with experiment [20], a distribution in the forward and backward reactions can be introduced into the model [40]. This dispersion will stretch the distribution (4), i.e. increase the parameter s , but may also lead to a temperature dependence of the power-law slope, features which have not been validated so far. Nevertheless, a dispersion in the reaction-rates as used for instance in [40] will not change the basic *diffusion*-limited nature of the microscopic prediction as shown below.

In order to study the stochastic response of the poly H/H₂ model, we extended our previous stochastic implementation [41] of the H/H₂ RD model to include the oxide/poly interface following ideas and parameters of [45]. Since any sensible macroscopic model is built around a well-defined microscopic picture, in this case non-dispersive diffusion and

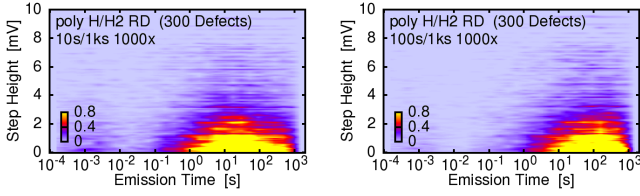


Fig. 5: Since in non-dispersive RD models *all* defects contribute equally to the spectral map, no clear clusters can be identified, except for possibly in the tail of the exponential distribution. Shown is a poly H/H₂ simulation with 300 defects for two stress times. Note that on average all defects are active with the same probability at all times, which results in markedly different spectral maps compared to those produced by a dispersive reaction model (Fig. 3).

non-dispersive rates, these features of the microscopic model must be preserved in the macroscopic theory, leaving little room for interpretation. In order to be consistent with the $W \times L = 150\text{nm} \times 100\text{nm}$ devices used in our TDDS study, we chose $\bar{\eta} = \bar{\eta}_t \eta_0 = 2 \times 0.9\text{mV} = 1.8\text{mV}$ [24]. Furthermore, a typical density of interface states $N_{it} = 2 \times 10^{12}\text{cm}^{-2}$ [20, 40] is assumed. We would thus expect about 300 such interface states to be present for our TDDS devices.

Before looking into the predictions of this RD model in a TDDS setting, we calibrate our implementation of the poly H/H₂ model to experimental stress data, see Fig. 4 (left). In order to obtain a good fit, we follow the procedure suggested in [44] and subtract a virtual hole trapping contribution of 3mV from the experimental data to obtain the required $n = 1/6$ power-law. Also, we remark that to achieve this fit, unphysically large hydrogen hopping distances had to be used in the microscopic model [41]. Furthermore, H₂ had to be allowed to diffuse more than a micrometer deep into the gate stack with unmodified diffusion constant to maintain the $n = 1/6$ power-law exponent, despite the fact that our poly-Si gate was only 150nm thick.

From (4) we can directly calculate the expected unnormalized probability density function for RD recovery as

$$f_{\text{RD}}(t_r) = -\frac{\partial N_{it}(t_r)}{\partial \log(t_r)} = A_t^n \frac{(t_r/t_s)^{1/s}}{s(1 + (t_r/t_s)^{1/s})^2} \quad (5)$$

which after normalization by A_t^n is a loglogistic distribution of $\log(t_r)$ with parameter s and mean $\log(t_s)$. In the framework of the standard non-dispersive RD model, all interface states are equivalent in the sense that on average they will have degraded and recovered with the same probability at a certain stress/recovery time combination. In terms of impact on ΔV_{th} , we again assume that the mean impact of a single trap $\bar{\eta}_i$ is exponentially distributed.

Using (5), the spectral map built of subsequent stress/relax cycles can be obtained. Since except for their step-heights all defects are equivalent, the time dynamics can be pulled out of the sum to eventually give

$$g(\tau_e, \eta) = A_t^n \frac{(t_r/t_s)^{1/s}}{s(1 + (t_r/t_s)^{1/s})^2} \sum_i f_{\eta} \left(\frac{\eta - \bar{\eta}_i}{\sigma_{\eta,i}} \right). \quad (6)$$

This is a very interesting result, as it implies that all defects are active with the same probability at any time, leading to a dense response in the spectral map as shown in Fig. 5. As will be shown, this is incompatible with our experimental results.

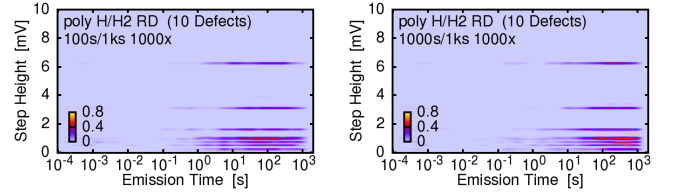


Fig. 6: Simulated spectral maps using the poly H/H₂ model for a $20\text{nm} \times 25\text{nm}$ sized device with only ten active defects. Note how the intensity, that is the emission probability, of the clusters keeps increasing while the mean of the clusters shifts to larger times with increasing stress time.

In order to more clearly elucidate the features of the RD model, we will in the following use a $20\text{nm} \times 25\text{nm}$ device, in which only a small number of defects (about ten) contribute to the spectral maps. The crucial fingerprint of the RD model would then be that these clusters are loglogistically distributed and thus much wider than the previously observed exponential distributions. Furthermore, we note that the RD spectral map does not depend on any parameter of the model nor does it depend on temperature and bias [1], but due to the *diffusion*-limited nature of the model shifts to larger times with increasing stress time (see Fig. 6), facts we will compare against experimental data later.

V. SMALL-DEVICES: PURELY REACTION-LIMITED

As noted before, previous TDDS experiments had been limited to stress times mostly smaller than about 1 s, which may limit the relevance of our findings for long-term stress. As such, it was essential to extend the stress and relaxation times to 1 ks, which is a typically used experimental window [14]. Unfortunately, the stress/relax cycles needed to be repeated at least 100 times, otherwise differentiation between exponential and logistic distributions would be difficult. We therefore used 9 different stress times for each experiment, starting from $10\mu\text{s}$ up to 1 ks with recovery lasting 1 ks, repeated 100 times, requiring a total of about 12 days. About 20 such experiments were carried out on four different devices over the course of more than half a year.

Since we are particularly interested in identifying a *diffusion*-limited contribution to NBTI recovery, we tried to minimize the contribution of charge trapping. With increasing stress voltage, an increasing fraction of the bandgap becomes accessible for charging [33], which is why we primarily used stress voltages close to $V_{DD} = -1.5\text{V}$ of our technology (about 4MV/cm [46]). Furthermore, it has been observed that at higher stress voltages defect generation in a TDDb-like degradation mode can become important [14, 47, 48], an issue we avoid at such low stress voltages. Two example measurements are shown in Fig. 7 for -1.5V at 150°C and -1.9V at 175°C (about 4 – 5MV/cm). As already observed for short-term stresses, all clusters are exponential and have a temperature-dependent but time-independent mean $\bar{\tau}_e$. Most noteworthy is the fact that *no sign of an RD signature as discussed in Section IV-B was observed*. We remark that defects tend to show strong signs of volatility at longer stress and recovery times [49], a fascinating issue to be discussed in more detail elsewhere.

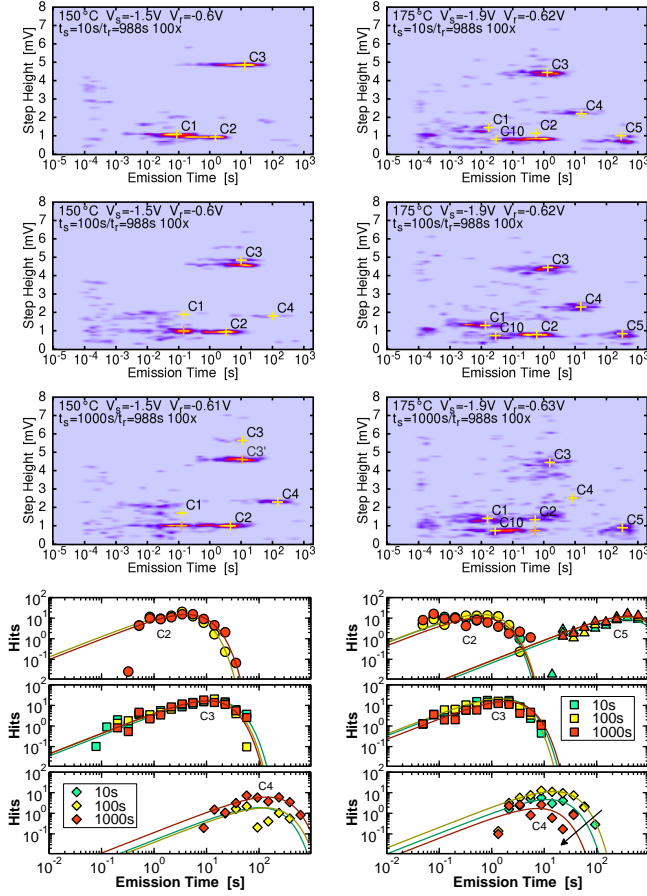


Fig. 7: Even at longer stress times (10s – 1ks) and higher temperatures, 150°C (left/top) and 175°C (right/top), all clusters (symbols) are exponential (lines) and do not move with stress time (bottom), just like the prediction of a reaction-limited model, see Fig. 3. Due to the increasing number of defects contributing to the emission events, the data becomes noisier with increasing stress bias, temperature, and time. With increasing stress, defect C4 shows signs of volatility, leading to a smaller number of emission events at longer times [49].

To confirm that our extracted capture and emission times fully describe recovery *on average*, we calculate the average of all 100 recovery traces recorded at each stress time and compare it with the prediction given by the extracted $\bar{\tau}_{c,i}$ and $\bar{\tau}_{e,i}$ values using (2), which corresponds to the expectation value and thus the average. Indeed, as shown in Fig. 8, excellent agreement is obtained, finally proving that our extraction captures the essence of NBTI recovery. It is worth pointing out that this agreement is obtained *without* fitting of the average data: we simply use the extracted capture and emission times as well as the extracted step-heights and put them into (2). Also shown is a comparison of the capture/emission times extracted by TDDS with a capture/emission time (CET) map extracted on large devices [23]. The capture and emission times extracted on the nanoscale device are fully consistent with the macroscopic distribution and correspond to a certain *realization*, which will vary from device to device.

As a final point, we compare the *averaged* recovery over 100 repetitions obtained from four different nanoscale devices after 1ks stress under the same conditions in Fig. 9. Clearly, all devices recover in a very unique way. For instance, device

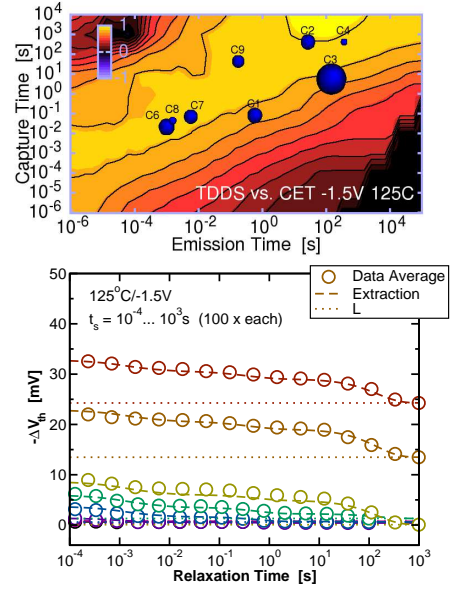


Fig. 8: **Top:** Comparison of the extracted capture and emission times vs. a capture/emission time (CET) map from a large area device [23]. The size of the dots represents the η value of each defect. The distribution of the individual defects seen in TDDS agrees well with the CET map. **Bottom:** Using the time constants extracted from the long-term TDDS data (lines), it is possible to *fully* reconstruct the average recovery traces (symbols, corresponding to the expectation value) for all stress and recovery times. The average offset L at $t_r = 1$ ks is added (dotted lines) to show the build-up of defects with larger emission/annealing times (\sim permanent component).

F shows practically no recovery between 10s and 1ks while device D has a very strong recoverable component in this time window but practically no recovery from 1ms up to 10s. Furthermore, this unique recovery depends strongly on bias and temperature, as demonstrated in Fig. 9 (right) for device C. For example, after a stress at -1.5 V at 125°C, strong recovery is observed between 10s and 1ks, which is completely absent at 200°C. On the other hand, if the stress bias is increased to say -2.3 V (about 7 MV/cm), a nearly logarithmic recovery is observed in the whole experimental window, consistent with what is also seen in large-area devices.

In the non-dispersive RD picture, hundreds of defects would be equally contributing to the average recovery of such devices. As such, the model is practically immune to the spatial distribution of the defects which would be the dominant source of device-to-device variability in this non-dispersive RD picture, lacking any other significant parameters. Such a model can therefore not explain the strong device-to-device variations observed experimentally. Also, as discussed before, in non-dispersive RD models in their present form recovery is independent of bias and temperature, which is also at odds with these data.

On the other hand, our data is perfectly consistent with a collection of defects with randomly distributed $\bar{\tau}_{c,i}$ and $\bar{\tau}_{e,i}$. In this picture, the occurrence of a recovery event only depends on whether a defect with a suitable pair $(\bar{\tau}_{e,i}, \bar{\tau}_{c,i})$ exists in this particular device. Since these time constants depend on bias and temperature, the behavior seen in Fig. 9 is a natural consequence.

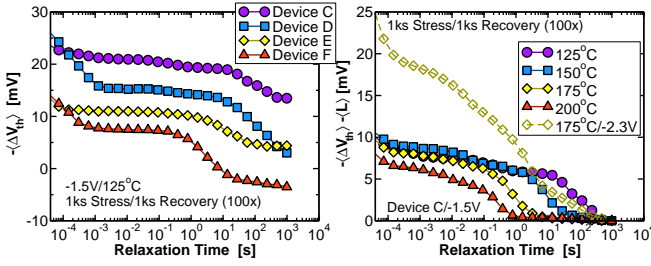


Fig. 9: Left: Just like for short-term stress, the averaged recovery over 100 repetitions after long-term stress/relax cycles varies strongly from device to device. Also noteworthy is the dramatic difference in the last value at $t_r = 1$ ks of each averaged recovery trace, meaning that also the build-up of the permanent component is stochastic. **Right:** Contrary to the RD prediction, recovery depends strongly on temperature and stress bias. Shown is the average recovery data minus the averaged last value of each recovery trace $\langle L \rangle$. In device C, due to the strong temperature activation of the emission time constants, the average ΔV_{th} traces are shifted to shorter times with increasing T , leading to practically no recovery after 1 s for $V_s = -1.5$ V. At higher stress voltages ($V_s = -2.3$ V), a considerably larger number of traps (presumably in the oxide) can contribute, leading to strong recovery in the whole measurement window.

VI. CONSEQUENCES

The question whether NBTI is due to a *diffusion-* or *reaction-*limited process is of high practical significance and not merely a mathematical modeling detail. First of all, it is essential from a process optimization point of view: if the RD model in any variant were correct, then one should seek to prevent the diffusion into the gate stack by, for instance, introducing hydrogen diffusion barriers. This is because according to RD models, upon hitting such a barrier, the hydrogen concentration in the gate stack would equilibrate, leading to an end of the degradation. On the other hand, if *reaction-*limited models are correct – and our results clearly indicate that they are – device optimization from a reliability perspective should focus on the distribution of the time constants/reaction rates in the close vicinity of the channel that are responsible for charge trapping and the *reaction-*limited creation of interface states.

Secondly, our results have a fundamental impact on our understanding of the stochastic reliability of nanoscale devices. We have demonstrated that even the averaged response of individual devices will be radically different from device to device, whereas in non-dispersive RD models all devices will *on average* degrade in the same manner. Given the strong bias- and temperature-dependence of this individual response, it is mandatory to study and understand the distribution of the bias- and temperature-dependence of the responsible reaction-rates. This is exactly the route taken recently in [50], where it was shown that the energetic alignment of the defects in the oxide with the channel can be tuned by modifying the channel materials in order to optimize device reliability.

VII. CONCLUSIONS

Using nanoscale devices, we have established an *ultimate benchmark* for BTI models at the statistical level. Contrary to previous studies, we have used a very wide experimental window, covering stress and recovery times from the microsecond

regime up to kiloseconds, as well as temperatures up to 175 °C. The crucial observations are the following:

- (i) Using time-dependent defect spectroscopy (TDDS), all recovery events create exponentially distributed clusters on the spectral maps which do not move with increasing stress time.
- (ii) The location of these clusters is marked by a capture time, an emission time, and the step-height. In an agnostic manner, we also consider the forward and backward rates for the creation of interface states on the same footing. The combination of such clusters forms a unique fingerprint for each nanoscale device.
- (iii) Given the strong bias- and temperature-dependence of the capture and emission times, the degradation in each device will have a unique temperature and bias dependence.

At the microscopic level, any BTI model describing charge trapping as well as the creation of interface states should be consistent with the above findings. Given the wide variety of published models, we have compared two *model classes* against these benchmarks, namely *reaction-* versus *diffusion-*limited models.

As a representative for *diffusion-*limited models, we have used the poly H/H₂ reaction-diffusion model. We have *observed a complete lack of agreement*, as this non-dispersive reaction-diffusion model predicts (i) that a very large number of equal interface states contribute equally to recovery, while experimentally only a countable number of clusters can be identified, (ii) that the clusters observed in the spectral map should be loglogistically distributed with an increasing mean value given by the stress-time, and (iii) that the *averaged* long-term degradation and recovery should be roughly the same in all devices, independent of temperature and bias. Based on these observations we conclude that the mainstream non-dispersive reaction-diffusion models in their present form are unlikely to provide a correct physical picture of NBTI. These issues should be addressed in future variants of RD models and benchmarked against the observations made here.

On the other hand, if we go to the other extreme and assume that NBTI recovery is not *diffusion-* but *reaction-*limited, the characteristic experimental signatures are naturally reproduced. Such models are (i) consistent with the exponential distributions in the spectral map, (ii) are based on widely dispersed capture and emission times which result in fixed clusters on the spectral maps, and (iii) naturally result in a unique fingerprint for each device, as the parameters of the reaction are drawn from a wide distribution. As the time constants are bias- and temperature-dependent, the unique behavior of each device can be naturally explained and predicted, provided the distribution of these time constants is understood.

Finally, we have argued that our results are not only interesting for modeling enthusiasts, but have fundamental practical implications regarding the way devices should be optimized and analyzed for reliability, particularly for nanoscale devices, which will show increased variability.

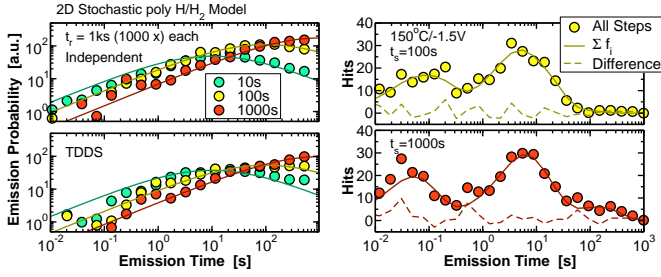


Fig. 10: **Left:** (Top) The emission probability predicted by the poly H/H₂ model (symbols are simulation) assuming independent stress/relax cycles follows a loglogistic distribution (lines). (Bottom) If the simulations are not conducted independently (that is, repeated on a completely recovered device) but in a TDDS setting (1000 repeated stress/relax cycles for better statistics), the number of emission events decreases but the statistics remain nearly unaffected. **Right:** The sum of the exponential distributions fitted to the individual clusters (lines) is subtracted from the total number of detected switches (symbols), revealing a certain noise in the data. However, no hidden RD component is identifiable in the noise.

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APPENDIX

In this Appendix three finer points are discussed, namely (i) the subtle difference between fully independent stress/relax cycles implied by (5) and a TDDS setting, (ii) a possible impact of errors in the discrete-step extraction algorithm, and (iii) a contribution of the quasi-permanent component.

Repeated Stress/Relax Cycles

Strictly speaking, equation (5) is valid for a single stress/relax cycle while the TDDS consists of a large number of repeated cycles. As such, the TDDS setup corresponds to an ultra-low-frequency AC stress and the devices will not be fully recovered prior to the next stress phase. This implies that H would be able to move deeper into the gate stack during cycling and that the H profile would not be precisely the same as that predicted during DC stress [11]. For short stress times and long enough recovery times, e.g. 1 s versus 1 ks, the impact of this would be small, since (5) predicts nearly full recovery in this case (97%). However, for larger stress times, recovery by the end of the cycle will only be partial and (5) may no longer be accurate in a TDDS setting. We have considered this case numerically in Fig. 10 (left), which shows that although this impacts the absolute number of recorded emission events, the general features – namely loglogistically distributed clusters which move in time – remains.

On Possible Extraction Errors

As can be seen from Fig. 7, with increasing stress time the number of visible clusters increases, as does the noise-level, making an accurate extraction of the statistical parameters more challenging than for shorter stress times. In order to guarantee that our extraction algorithm, which splits the recovery trace into discrete steps, does not miss any essential features

and the noise in the spectral maps is really just unimportant noise rather than an overshadowed RD contribution, we performed one additional test: we calculate the difference between the extracted response of forward and backward reactions and subtract it from all recorded steps, see Fig. 10 (right). As can be seen, even if due to noise not all steps are considered in the fit, no hidden RD component is missed.

The Permanent Contribution to TDDS

Finally, we comment on the permanent part that builds up during the TDDS cycles, see Fig. 8. This contribution is not explicitly modeled here but only extracted from the experimental data to be added to the modeled recoverable part. From an agnostic perspective, one could simply refer to this permanent build-up as due to those defects with emission or annealing times larger than the maximum recovery time, 1 ks in our case. This permanent build-up is typically assigned to interface states (P_b centers) [5], but likely also contains a contribution from charge traps with large time constants [51].

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